

Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		Attorney Docket: 27414U U.S. Application No.: Not Yet Assigned <b>107582498</b>
International Application No.: PCT/EP2004/053544	International Filing Date: December 16, 2004	Priority Date Claimed: December 16, 2003
Title of Invention: TRICYCLIC BENZIMIDAZOLES		
Applicants for DO/EO/US: BUHR, Wilm		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> <p>6. <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p>		

U.S. Application No. Not Yet Assigned <b>107582498</b>		International Application: PCT/EP2004/053544	Attorney Docket: 27414U
20. X Other items or information: <p>TRANSMITTAL LETTER; APPLICATION DATA SHEET; INTERNATIONAL PUBLICATION NUMBER: WO 2005/058893 A1, WITH PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); INTERNATIONAL PUBLICATION DATE: JUNE 30, 2005, WHICH CONSISTS OF 34 PAGES: 1 PAGE FOR THE COVER SHEET, WITH ABSTRACT, 29 PAGES OF TEXTUAL SPECIFICATION, 4 PAGES OF 10 CLAIMS, 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDICES A, B, AND C, TO BE EXAMINED; EXECUTED DECLARATION AND POWER OF ATTORNEY; PCT/ISA/237 (WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY); PCT/IB/304 (NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/IB/306 (NOTIFICATION OF THE RECORDING OF A CHANGE); CORRECTED VERSION OF THE COVER SHEET FOR WO 2005/058893 A1 WITH CHANGES FROM PCT/IB/306); PCT/IB/308 (FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/IB/308 (SECOND AND SUPPLEMENTARY NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/RO/101 (REQUEST); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>			
The following fees are submitted:		CALCULATION	PTO USE ONLY
21. X Basic National Fee (37 CFR 1.492(a))..... \$ 300.00		\$ 300.00	
22. X Examination Fee (37 CFR 1.492 (c)) <p>If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00  All other situations ..... \$ 200.00</p>		\$ 200.00	
23. X Search Fee (37 CFR 1.492(b)) <p>If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$ 100.00  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$ 400.00  All other situations ..... \$ 500.00</p>		\$ 400.00	
<b>Total of 21, 22 and 23</b>		<b>\$ 900.00</b>	
<p>— Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium).  The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.</p>			
Total Sheets	Extra Sheets	# of each additional 50	RATE
51-100 =	0/50 =	0	X \$250
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
CLAIMS	# FILED	# EXTRA	RATE
TOTAL	11-20=	0	X \$50
INDEPENDENT	3-3=	0	X \$200
Multiple dependent claims (if applicable)			+ \$360
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$ 900.00</b>
<p>— Applicant claims small entity status.  Fees above are reduced by ½.</p>			
<b>SUBTOTAL =</b>			<b>\$ 900.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			
<b>TOTAL NATIONAL FEE =</b>			<b>\$ 900.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>			
<b>TOTAL FEES ENCLOSED =</b>			<b>\$ 900.00</b>
			Amount to be: refunded
			Amount to be: charged

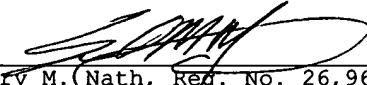
U.S. Application No.: Not Yet Assigned <b>107582498</b>	International Application: PCT/EP2004/053544	Attorney Docket: 27414U
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- a.  A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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\_\_\_\_\_  
Gary M. (Nath, Reg. No. 26,965  
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Customer No. 34375

10/582498

MAIL STOP - PCT

Attorney Docket No.: 27414U

Date: June 9, 2006

IAP12 Rec'd PCT/PTO J9 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BUHR

Art Unit: Unknown

Examiner: Unknown

Appl. No.: Not Yet Assigned

Filed: June 9, 2006

International Application: PCT/EP2004/053544

International Filing Date: December 16, 2004

For: TRICYCLIC BENZIMIDAZOLES

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Application Data Sheet;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing Under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/058893 A1, with PCT/ISA/210 (International Search Report); International Publication Date: June 30, 2005, which consists of 34 Pages:  
1 page for the Cover Sheet, with Abstract,  
29 pages of Textual Specification,  
4 pages of 10 Claims,  
0 sheets of Drawings;
- 4) **Preliminary Amendment with Appendices A, B, and C, to be Examined;**
- 5) Executed Declaration and Power Of Attorney;
- 6) PCT/ISA/237 (Written Opinion of the International Searching Authority);
- 7) PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document);
- 8) PCT/IB/306 (Notification of the Recording of a Change);
- 9) Corrected Version of the Cover Sheet for WO 2005/058893 A1 with changes from PCT/IB/306);
- 10) PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application);
- 11) PCT/IB/308 (Second and Supplementary Notice Informing the Applicant of the Communication of the International Application);
- 12) PCT/RO/101 (Request);
- 13) Check No.: 2252 in the Amount of \$ 900.00 for Basic Filing Fee, which includes the Search and Examination Fees, as a **Large Entity**;
- 14) Postcard for Early Notification of the Serial Number Request.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

  
Gary M. Nath, Reg. No. 26,965  
Sheldon M. McGee, Reg. No. 50,454  
Customer No. 34375

GMN/SMM/1e